

# From Surface to Depth: Learning Curve in Extended Deep Facelift – my First 100 Cases

Da superfície para a profundidade: Curva de aprendizado em lifting facial profundo estendido - meus primeiros 100 casos

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# **ABSTRACT**

Introduction: Facial aging is a gradual, complex, and multifactorial process. It is the result of changes in the quality, volume, and positioning of tissues. Plastic surgeons have modified their approach to facial rejuvenation surgery, opting for the subaponeurotic plane (SMAS). The objective of this study is to analyze 100 cases of patients operated on using the deep SMAS technique, evaluating its applicability and effectiveness. Method: 100 patients were evaluated, undergoing facial plastic surgery using the deep SMAS technique - "Deep Smas", and followed up for 6 months. Patient satisfaction, number of complications, number of reoperations, risks, and advantages of the technique were observed. **Results:** 100 patients were operated on over 3 years. Age ranged from 41 to 79 years, with 95% being female. The complications were 8 cases (8%) of injuries to branches of the facial nerve, of which 4 cases of zygomatic injury, 3 cases of mandibular injury, and 1 case of buccal injury; there was  $1 \operatorname{case}(1\%)$  of post-auricular keloid;  $1 \operatorname{case}(1\%)$  of hematoma. Regarding surgical revisions, there were 8 cases (8%) of surgical completion due to patient dissatisfaction. There were 15% of nerve injuries between the 1st and 40th surgery, 5% between the 41st and 80th, and no injuries between the 81st and 100th patient. Conclusion: Deep facial lifting or subSMAS has proven to be effective, providing good aesthetic results. It has a low recurrence rate and low morbidity rate; however, it requires a long learning curve.

**Keywords:** Face; Plastic surgery procedures; Esthetics; Cosmetic techniques; Facial nerve; Learning curve.

### **RESUMO**

Introdução: O envelhecimento facial é um processo gradual, complexo e multifatorial. É o resultado de mudancas na qualidade, volume e posicionamento dos tecidos. Cirurgiões plásticos têm modificado sua abordagem na cirurgia do rejuvenescimento facial optando pelo plano subaponeurótico (SMAS). O objetivo deste estudo é analisar 100 casos de pacientes operados pela técnica de SMAS profundo, avaliando sua aplicabilidade e eficácia. Método: Foram avaliados 100 pacientes, submetidos a cirurgia plástica facial pela técnica de SMAS profundo - "Deep Smas", e acompanhados por 6 meses. Observouse a satisfação dos pacientes, número de complicações, número de reoperações, riscos e vantagens da técnica. Resultados: Foram operados 100 pacientes, num período de 3 anos. A idade variou de 41 a 79 anos, sendo 95% sexo feminino. As complicações foram 8 casos (8%) de lesões de ramos do nervo facial, sendo: 4 casos lesão do zigomático, 3 casos de lesão do mandibular e 1 caso de lesão do bucal; houve 1 caso (1%) de queloide retroauricular; 1 caso (1%) de hematoma. Em relação às revisões cirúrgicas, houve 8 casos (8%) de complementação cirúrgica por insatisfação das pacientes. Houve 15% de lesões nervosas entre a  $1^{\rm a}$  e a  $40^{\rm a}$  cirurgia, 5% entre a  $41^{\rm a}$  e a  $80^{\rm a}$ , e nenhuma lesão entre o 81º e o 100º paciente. Conclusão: O lifting facial profundo ou subSMAS mostrou ser efetivo, proporcionando bons resultados estéticos. Apresenta baixa taxa de recidiva e baixa taxa de morbidade, porém, necessita de uma longa curva de aprendizagem.

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**Descritores:** Face; Procedimentos de cirurgia plástica; Estética; Técnicas cosméticas; Nervo facial; Curva de aprendizado.

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# **INTRODUCTION**

Facial aging is a gradual, complex, and multifactorial process. It is the result of changes in the quality, volume, and positioning of tissues<sup>1-3</sup>.

Plastic surgeons have modified their technical approach to patients who wish to undergo facial rejuvenation, including video endoscopy, cosmetic treatments such as laser, botulinum toxin, and facial fillers, and extensive knowledge of anatomy, highlighting the retaining ligaments of the face<sup>4-7</sup>. Retaining ligaments connect the loose tissues of the face to deep bony structures.

Modern facial surgery was pioneered by Skoog<sup>8</sup>, who described the elevation of the deep facial cervical flap to the platysma and superficial fascia of the face. When talking about a deep approach, Hamra<sup>9,10</sup> and Barton<sup>11,12</sup> added personal modifications, but maintained the concept of the flap composed of skin with the superficial muscular aponeurotic system (SMAS)<sup>13</sup>.

After a better understanding and knowledge of the system of fat compartments, both superficial and deep, described by Rohrich & Pessa<sup>6,7</sup>, added to publications by Aston<sup>13</sup> on FAME (finger assisted malar elevation), Mendelson et al.<sup>14,15</sup> described the importance of the facial ligaments and also the subSMAS spaces, the ligaments forming a complex fibrous support system, and the spaces that are areas delimited by the ligaments on the sides where no anatomical structures are crossing inside, that is, they are safe spaces with all nerve branches being outside these compartments.

More recently, the studies by Jacono<sup>1</sup> stood out, which in great detail showed the advantages of releasing the retaining ligaments from the ptotic tissue of the midfacial third followed by en bloc traction, fixation, and repositioning at the level of the malar prominence and the fixed SMAS. The section of the cutaneous zygomatic ligament, the strongest ligament on the face (according to biomechanical studies)<sup>15</sup>, allows the elevation and mobilization of the malar fat pad. Tissue mobilization allows great volumization of the middle third, which is believed not to be possible with traditional SMAS flap or plication techniques, as they would not mobilize the loose tissue structures<sup>1,16-22</sup> as studies by Gassner et al.<sup>23</sup> demonstrated histologically, there is no SMAS tissue medial to the zygomatic muscles.

# **Characteristics of Mendelson Studies**

The ligaments of the face resemble a tree where the trunk are the ligaments that unite the soft tissues to the facial skeleton, the larger branches cross the SMAS, and their branches (small branches) connect the SMAS to the sub-dermis (retinacular cutis fibers), forming a complex fibrous support system<sup>14,15</sup>.

The subSMAS spaces are areas delimited by retaining ligaments on the sides where no anatomical structures are crossing inside; that is, they are safe spaces with all nerve branches being outside these compartments. As they allow the movement of loose tissues, there may be sagging in these spaces and not in their limits where the ligaments are located<sup>14,15</sup>. Dissection in these areas presents less bleeding, edema, and risk of nerve injury.

Prezygomatic space: covers the zygomatic bone, the zygomatic muscles originate below the floor, the roof or lining is by the orbicularis oculi muscle and the SOOF (sub orbicular oculi fat), the upper edge by the orbicularis retaining ligament (which does not is so resistant) and the lower edge by the zygomatic ligament (this one is stronger).

Pre-masseteric space: covers the lower  $\frac{1}{2}$  of the masseter muscle, the platysma forms the roof, the posterior edge by the platysma auricular fascia (PAF), the anterior edge by the masseteric ligaments, and the weakness of the fixation of the floor formed by the platysma in the inferior masseteric ligament allows the formation of the jowl.

Limits of the premasseteric space:

- a) Base: masseter/additional and anterior triangle;
- b) Ceiling: platysma;
- c) Later: transition to the PAF;
- d) Superior: cutaneous masseteric ligaments;
- e) Lower: membrane formed between the PAF and the mandibular ligament;
- f) Anterior: membrane formed between the platysma and the masseter.

C) Chewing Space: also called the buccal space, this space contains the buccal fat and the laxity in this area allows the formation of the nasolabial fold (NLF).

When the term "deep Smas facelift" was introduced, it referred to subSMAS dissection, but it was not clear whether it involved the face laterally (here in the subcutaneous plane) until the transition of the orbicularis muscle and zygomaticus major muscle on the anterior face<sup>24,25</sup>. Hamra<sup>9,10,24,26</sup> described access to the anterior face through the lower eyelid and zygomatic orbicularis dissection. Currently, the term compound lifting involves the dissection of the face anteriorly, always in the subSMAS plane, but with lateral access coming over the middle 1/3 of the face (mid cheek) used in our study and also recommended by Jacono<sup>1,18-20</sup>.

Different facelifting techniques offer good results. However, a deeper analysis allows us to observe

that the balance between skin tension and volume or shape will determine the harmony of the face.

There are 3 areas or layers where tension can be applied to treat sagging: skin, SMAS, and periosteum. Advantages of subSMAS: spaces are naturally predissected, fast, bleed little and atraumatic.

### **Features of Hamra Studies**

Initially, Hamra<sup>9,26</sup> described his "Deep Plane" deep dissection technique that aimed to treat sagging cheek fat that made the NLF more evident and deeper. Traction superiorly would promote attenuation of the NLF. One characteristic that stood out was that the fatty tissue was over the zygomatic muscles, was easy to dissect, and remained connected to the SMAS ("cheek fat" + platysma).

Evolving over time, he described the Composite Face Lift, which formed a flap composed of the orbicularis oculi muscle (MOO) + "cheek fat" + platysma. The incision in the arcus marginalis (junction of the septum with the orbital rhyme)<sup>10</sup>.

Finally, he described the Zygomatic Orbicularis Flap and Septal Reset, incising the orbital septum inferiorly and "redraping" the tissue superiorly, treating the nasal jugal groove, leaving it more youthful<sup>27</sup>.

### Jowl

The "jowl" is a protrusion of soft tissues in the lower and anterior third of the face<sup>14,15,28-30</sup>, being the main stigma of facial aging<sup>30</sup>. Its anterior limit is the mandibular ligament and the labrum mandibular groove; it is located in the supraplatysmal plane as a redundancy of the subcutaneous tissue (fat) covered by facial skin<sup>28</sup>. The subplatysmal anatomical structures are not related to the formation of the jowl, but the adjacent structures are Bichat fat (buccal fat pad) and the submandibular gland when very prominent<sup>30</sup>. The Auersvald brothers<sup>28</sup> believe that the main factors involved in the formation of the "jowl" are subcutaneous fat, excess skin, descending SMAS sliding and infiltrating the fat, and Bichat fat.

### **OBJECTIVE**

The objective of this study is to analyze 100 patients operated on using the deep SMAS (Extended Deep Plane Face Lifting) technique, evaluating its applicability, effectiveness, morbidity and surgical results.

#### **METHOD**

One hundred patients were analyzed between January 2020 and November 2022 who underwent

facial plastic surgery using the deep SMAS technique – "Deep Smas", and were followed up for 6 months postoperatively (PO). The study was carried out in a private clinic in Curitiba-PR, observing the number of complications, number of reoperations, risks, and advantages of the technique. The study was previously approved by the Research Ethics Committee of Faculdade Evangélica Mackenzie do Paraná, with number 5,281,745.

### **Operative technique**

Previous marking with methylene blue on the skin, starting at the contour of the rib of the hair, going around the entire ear, positioning post-tragal in the anterior region, in the posterior region at the transition between the turbinate cartilage and the mastoid, extending to the foot of the hair in the posterior region.

Skin incision followed by subcutaneous dissection approximately 3 cm anteriorly to where the transition line for the deep plane is located. This line runs from the lateral corner of the orbit to the angle of the mandible (gonion) (Figure 1).



Figure 1. Marking area to be incised in the SMAS, the gateway to the deep plane.

Access to the subSMAS plane is achieved through a scalpel incision. Next, using electrocautery, dissection is made in the loose areolar tissue with the branches of the facial nerve (zygomatic, buccal and marginal of the mandible) being below this plane protected by the masseteric fascia. It is followed anteriorly with blunt dissection and release of the masseteric ligaments in the superior, middle, and inferior pre-masseteric spaces. More superiorly, with the section of the cutaneous zygomatic ligament, access to the prezygomatic space is gained, and this allows communication with the premasseteric space. The zygomaticus major muscle is located in this region, below which the zygomatic branch of the facial nerve passes. The malar region is suspended after performing FAME<sup>13</sup> using the index finger (Figure 2).



Figure 2. FAME - "finger assisted malar elevation".

Blunt dissection continues anteriorly to the level of the NLF and inferiorly to the transition of the premasseteric space with the subplatysmal space (Figures 3 and 4). With the entire subSMAS plane dissected, the "cuff" is created, which is, on average, 2cm, a SMAS flap that will serve as anchorage supporting the traction of the fasciocutaneous flap (Figure 5). There are approximately 5 to 6 traction points made with Vycril<sup>®</sup> plastic 4.0, starting more inferiorly in a posterosuperior direction until the fixed SMAS (Figures 6 and 7).

The last step is to suture the lateral platysma, as described by Jacono1, using the hammock technique, to the mastoid periosteum (Figures 8, 9, 10, and 11). Finally, the excess skin is resected, hemostasis is reviewed, and drainage with a suction drain, accommodating the tissues without tension, with simple 4.0 Monocryl sutures in the subdermis and continuous 5.0 nylon in the skin.

# **Statistical analysis**

Quantitative variables were described using the statistics of mean, median, minimum value,



Figure 3. Dissection extended to the nasolabial fold (NLF).





Figure 5. "Cuff."



Figure 6. Vycril 4.0 stitches fixing the SMAS flap.

maximum value, and standard deviation. Qualitative variables were summarized using frequencies and percentages. To evaluate the association between sex and the presence of injury, the Fisher's Exact test was considered. The association between age and presence of injury was assessed using Student's t-test for independent samples. The association between surgery order and the presence of injury was assessed using the Cochran-Armitage test. P values lower than 0.05 indicated statistical significance.

### RESULTS

One hundred patients were operated on over 3 years. Age ranged from 41 to 79 years, mean age of 58.7 years, with 95% female and 5% male (Tables 1 and 2).

The complications were 8 cases (8%) of injuries to branches of the facial nerve, of which 4 cases of zygomatic injury, 3 cases of mandibular injury, and 1 case of buccal injury; there was 1 case (1%) of post-auricular keloid; 1 case (1%) of hematoma (Figure 12). All nerve injuries were transient, treated with oral peripheral nerve neuroregenerators and lasting 45 to 60 days.



Figure 7. Around 6 simple stitches to fix the flap.

Regarding surgical revisions, there were 8 cases (8%) of surgical completion due to patient dissatisfaction due to sagging skin or little projection in the middle third of the face, which were carried out between 6 and 8 months postoperatively. The vast majority of patients undergoing surgical revision were over 60 years old (75%) (Table 3).

# Assessment of the association of sex with the presence of injury

The null hypothesis that the probability of the presence of a lesion in female patients is equal to the probability of the presence of a lesion in male patients was tested versus the alternative hypothesis of different probabilities. The table below presents the results obtained in the study, as well as the *p*-value of the statistical test (Table 4).

# Assessment of the association of age with the presence of injury

The null hypothesis of the mean age in cases without injury equal to the mean age in cases with injury was tested versus the alternative hypothesis of different means. The table below presents the descriptive statistics of age in each injury classification, as well as the *p*-value of the statistical test (Table 5).



Figure 8. Marking of the lateral platysma.

# Evaluation of the association between the order of surgery and the presence of injury

The null hypothesis of an association between the order in which surgeries are performed and the probability of presence of injury was tested versus the alternative hypothesis of no association between the order in which surgeries are performed and the probability of presence of injury. To apply the test, the surgeries were grouped into classes with 20 surgeries considering the order in which they were performed. This way, the first 20 were carried out before the next 20 and so on.

The results obtained in the study are presented in the table below (Table 6).

The result of the statistical test indicated the rejection of the null hypothesis (p=0.037), providing evidence for the finding that there is a tendency for the



Figure 9. Opening of the lateral platysma.



Figure 10. Traction of the lateral platysma flap - "Platisma hammock".

probability of the presence of an injury to decrease as experience with performing surgery increases.

The results obtained in the study can be seen in the graph below (Figure 13).

Below, some pre- and postoperative cases are presented (Figures 14, 15, 16, 17, 18, 19, 20 and 21).



Figure 11. Fixation of the "Platisma hammock" on the periosteum of the mastoid.

#### Table 1. Age.

N	Average	Median	Minimum	Maximum	Standard deviation
100	58.7	59	41	79	8,1

### Table 2. Sex.

Ν	%
95	95.0%
5	5.0%
100	100.0%
	N 95 5 100

# DISCUSSION

The learning curve was described in 1936 by Wright<sup>31</sup> and can be defined as the graphic expression representing the period of incorporation of new knowledge. In practice, it has been confused with the number of procedures necessary to reach the point of proficiency, with the point of proficiency being understood as the moment at which the optimization of this procedure is achieved or, better said, it is

NN injury	Ν	Average	Median	Minimum	Maximum	Standard deviation	p-value*	
No	92	58.9	59	41	79	8.3	0 504	
Yes	8	56.9	57.5	45	64	6.1	0.504	

(\*) Student's t-test for independent samples; p < 0.05.



Figure 12. 44-year-old patient, on the 10th postoperative day, presenting with a lesion of the mandibular branch on the left.

# Table 3. Surgical revisions.

Age	Patients	<b>Reviews</b> (%)
< 49	2	1
50-59	17	1
60-69	57	4
70-79	24	2
Total	100	8

### Table 4. Relationship between sex and injury.

	Sex				
NN injury	Fem	inine	Masculine		
	Ν	%	Ν	%	
No	87	91.6%	5	100.0%	
Yes	8	8.4%	0	0.0%	
Total	95	100.0%	5	100.0%	

p-value=1

the moment at which the surgeon can perform the procedure without supervision<sup>32</sup>.

The Sociedade Brasileira de Vídeo Cirurgia (Brazilian Society of Video Surgery) establishes the minimum number of procedures performed at 25 for the surgeon to be considered qualified<sup>33</sup>. In plastic surgery, data are sparse, but Tapking et al.<sup>34</sup> reviewed

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		% with			
Surgeries	With NN injury	N No NN injury Total		injury	
1 to 20	3	17	20	15.0%	
21 to 40	3	17	20	15.0%	
41 to 60	1	19	20	5.0%	
61 to 80	1	19	20	5.0%	
81 to 100	0	20	20	0.0%	
Total	8	92	100	8.0%	

p-value=0.037



p-value=0.037.

Figure 13. Graph showing the relationship between the percentage of nerve injuries and the number of surgeries performed.

29 articles that indicate that the learning curve reaches a plateau between 45-100 cases operated in breast reconstruction. This translates into a higher success rate, shorter surgical time, and lower complication rate.

The deep approach in facial surgery, also called deep lifting, subSMAS, or deep plane, began with the publications by Hamra<sup>9,10</sup> and Barton<sup>11,12</sup>, but it was only after the studies by Mendelson et al.<sup>14,15</sup> that it was understood that more clearly and in detail the anatomy of this region. The diffusion and "popularization" of the technique, so to speak, among plastic surgeons around the world and even among lay people occurred mainly through the studies of Jacono<sup>1,18,19,20</sup>.

Both Jacono<sup>1</sup> and Mendelson et al.<sup>14</sup> propose the dissection and approach of the prezygomatic space, all premasseteric spaces, and the masticatory space. From here onwards, Jacono<sup>1</sup> proposes the realization of FAME, but in a different way from Aston<sup>13</sup>. This approaches with the index finger sliding below the orbicularis muscle to the malar fat pad, while Jacono<sup>1</sup> advises the use of the index finger over the orbicularis muscle, as a way of protecting the zygomatic branch of the facial nerve.

Another distinct characteristic occurs in the treatment of the platysma muscle. Jacono<sup>1</sup> proposes blunt dissection anteriorly to the level of the NLF and inferiorly to the transition of the premasseteric space with the subplatysmal space. With the subplatysmal dissection performed both via the middle third of



Figure 14. 63-year-old patient in preoperative profile image.



Figure 15. 63-year-old patient in profile image 6 months postoperatively.



Figure 16. 63-year-old patient in preoperative frontal image.



Figure 18. 52-year-old patient in preoperative profile image.



Figure 20. 52-year-old patient in preoperative frontal image.



Figure 17. 63-year-old patient in frontal image 6 months postoperatively.



Figure 19. 52-year-old patient in profile image 7 months postoperatively.



Figure 21. 52-year-old patient in profile image 7 months postoperatively.

the face and through the lateral platysma, there is a platysma flap called a hammock platysma (swing hammock) that will be anchored in the periosteum of the mastoid process with suture threads.

Jacono<sup>1</sup> recommends the use of nonabsorbable monofilament threads to fix the composite flap, with an average number of 6 sutures. It justifies the use of nylon so that, if in the future there is a new reintervention of the face, the surgeon can find the threads and that they serve as a guide or parameter for a new sub-SMAS plane dissection. In this study, absorbable multifilament 4.0 Vycril<sup>®</sup> plastic threads were used, which, due to technical characteristics, would cause a greater inflammatory reaction and consequently greater adhesion and fixation of the flap than monofilament thread (nylon). Disadvantages include the risk of visualizing the black nylon threads in the subdermis and the palpation of knots in the event of a foreign body reaction (nonabsorbable threads).

The biggest concern for the patient and the facial surgeon is the risk of nerve injury, which is the reason to avoid some techniques that involve wider dissections such as subSMAS or deep plane. Despite speculation, most individual case series consider rates of temporary nerve injury to be between 1% and 2%, regardless of the technique used. Permanent injuries vary from 0.04% to 0.08%35. Jacono<sup>18,22</sup>, in 584 patients, reported 1% of temporary injuries and 0% of definitive injuries, mainly attributing to the connections between the buccal and zygomatic branches, the possibility of direct vision of the branches, and the protection of the parotid masseteric fascia.

In this study, it was observed, after completing the learning curve - that in plastic surgery, between 45-100 cases<sup>34</sup> can be considered, values equal to those of other techniques and also to those of Jacono<sup>18,22</sup>, with a regressive character tending to 0% in the last 20 operated cases. Furthermore, there were also no cases of definitive nerve damage, which is also similar to the literature<sup>18,22,35</sup> (p=0.037).

Regarding surgical revision rates, Jacono<sup>1,18,22</sup> cites values between 3% and 5% in more than 2000 cases operated in around 11 years. Moreover, it corroborates its results with the publication by Kamer & Frankel<sup>36</sup>, who cite values of 3.3% of surgical revisions in other studies in which the techniques were SMASectomy, SMAS imbrication, and SMAS plication. Surgical revisions within 1 year were between 11.4% and 21.7%<sup>36</sup>. Jones & Lo<sup>37</sup>, in turn, showed a 69% recurrence of flaccidity in the angle of the mandible and cervical region in a 5.5-year follow-up period. In this study, values of 1% were found in patients under 60 years old, 4% between 60 and 70 years old, and 2% between 70 and 79 years old. This is similar to literature data, as Jacono<sup>1</sup> mentions that revisions increase in older patients, reaching 100% in patients over 80 years old and 50% in patients between 70 and 79 years old.

Different facelifting techniques can offer good results, leaving both the patient and the surgeon satisfied, and each surgeon needs to develop their own approach that provides desired and long-lasting results. However, a deeper analysis allows us to observe that the balance between skin tension and volume or shape will determine the harmony of the face. The deep approach to facial surgery, also called deep lifting, subSMAS, or deep plane, provides more effective and long-lasting results with a low rate of surgical revisions<sup>1,36,37</sup>.

# CONCLUSION

The deep facial lifting technique or subSMAS (Extended Deep Plane Face Lifting) has proven to be effective, providing good aesthetic results, and has a low recurrence rate and low morbidity rate; however, it requires a long learning curve.

# **COLLABORATIONS**

- LGN Analysis and/or data interpretation, Conception and design study, Conceptualization, Data Curation, Final manuscript approval, Formal Analysis, Funding Acquisition, Investigation, Methodology, Project Administration, Realization of operations and/or trials, Resources, Software, Supervision, Validation, Visualization, Writing -Original Draft Preparation, Writing - Review & Editing.
- ACMG Investigation.
- **SPR** Supervision.

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