

ASIA and BIA-ALCL as adverse reactions to silicone breast implants

ASIA e BIA-ALCL como reações adversas aos implantes mamários de silicone

NATALIA SILVA ZAHDI^{1*} JULIA PETRY TREVISANI¹ FELIPE FANINE DE SOUZA¹ ISABELA GASPARINO BOEHM¹ IVAN MALUF JUNIOR²

ABSTRACT

Adjuvant-induced autoimmune/inflammatory syndrome leads to capsular contracture and fibrosis from the oxidation that takes place in silicone. Anaplastic large cell lymphoma occurs through the development of a seroma, with the formation of a periprosthetic effusion, or through the infiltration of the condition itself. To analyze these conditions, a review of the literature was carried out on the symptoms and pathophysiology of the autoimmune/inflammatory syndrome induced by adjuvants and anaplastic large cell lymphoma, searched using the terms "ASIA breast silicone," "Lymphoma," "Adjuvants" "Immunologic" " Breast Implants" on the PubMed platform. Analyzing the data obtained, it was noted that the symptoms of the autoimmune/inflammatory syndrome induced by adjuvants are nonspecific, such as fatigue, myalgia, arthralgia, morning stiffness, and night sweats, and therefore need attention. Anaplastic large cell lymphoma presents with breast pain, periprosthetic effusion, and palpable mass, among other characteristics. Because of these aspects, it is concluded that a good investigation should be carried out when nonspecific symptoms appear, regardless of the time the surgery was performed since these complications can occur years later.

Keywords: Immunologic deficiency syndromes; Breast implants; Lymphoma, B-cell; Adjuvants, immunologic; Immunologic factors; Silicones; Autoimmune diseases.

RESUMO

A síndrome autoimune/inflamatória induzida por adjuvantes leva à contratura capsular e fibrose pela oxidação que acontece no silicone. O linfoma anaplásico de grandes células ocorre através do desenvolvimento de um seroma, com a formação de derrame periprotético ou por uma infiltração da própria afecção. Para análise destes acometimentos, foi realizada uma revisão da literatura acerca da sintomatologia e fisiopatologia da síndrome autoimune/inflamatória induzida por adjuvantes e linfoma anaplásico de grandes células, pesquisada através dos termos "ASIA breast silicone" "Lymphoma" "Adjuvants" "Immunologic" "Breast Implants" na plataforma PubMed. Analisando os dados obtidos, notou-se que os sintomas da síndrome autoimune/inflamatória induzida por adjuvantes são inespecíficos, como fadiga, mialgia, artralgia, rigidez matinal e suores noturnos, e, portanto, necessitam de atenção. Já o linfoma anaplásico de grandes células se apresenta com dor mamária, derrame periprotético, massa palpável, dentre outras características. Em vista destes aspectos, conclui-se que uma boa investigação deve ser realizada ao surgirem sintomas inespecíficos, independentemente do tempo que a cirurgia foi realizada, uma vez que estas complicações podem ocorrer anos após a cirurgia.

Institution: Universidade da Região de Joinville, Departamento de Medicina, Joinville, SC, Brazil.

Article received: January 10, 2022. Article accepted: September 13, 2022. **Descritores:** Síndromes de imunodeficiência; Implantes de mama; Linfoma de células B; Adjuvantes imunológicos; Fatores imunológicos; Silicones; Doenças autoimunes.

Conflicts of interest: none.

DOI: 10.5935/2177-1235.2023RBCP0686-EN

¹Universidade da Região de Joinville, Joinville, SC, Brazil.

² Sociedade Brasileira de Cirurgia Plástica, Curitiba, PR, Brazil.

INTRODUCTION

Breast implants using silicone prostheses began in 1962, and since their implementation, several studies have been carried out to discover and analyze the impacts of these substances on the body¹. In this respect, there has been a considerable increase in cases associated with the body's immune responses, such as the syndrome called ASIA - adjuvant-induced autoimmune/inflammatory syndrome (or simply "Schoenfeld syndrome"), which manifests itself as an immune reaction provoked by triggering compounds in genetically predisposed individuals². Among the etiologies linked to this syndrome, exposure of the body to silicone components stands out due to its wide use in breast implants.

Silicone is made of polymeric silica, the adjuvant that will activate the immune and inflammatory system. From the capture of these particles by macrophages, the release of interleukins 1b (IL-1B) from B cells, Th17 cells, and, subsequently, a clonal expansion of T^3 lymphocytes is induced. Thus, the presence of silicone may lead to the formation of autoantibodies, polyclonal hypergammaglobulinemia, and progression to lymphoma⁴.

ASIA presents systemic symptoms such as chronic fatigue, dyspnea, arthralgia, myalgia, and dysphagia. However, it is important to emphasize that the syndrome also predisposes patients to develop other autoimmune diseases, especially rheumatic diseases, hypo- or hyperthyroidism, rheumatoid arthritis, Sjögren's syndrome, fibromyalgia and systemic lupus erythematosus^{3,5}.

Another consequence of breast implants is their association with anaplastic large cell lymphoma (BIA-ALCL)⁶. The development of BIA-ALCL seems to be associated with three factors: the type of breast implant, genetic predisposition, and biofilm formation (contamination)².

Today, two theories explain the pathology of BIA-ALCL: the first is due to the development of a seroma, with the formation of a periprosthetic effusion around the breast prosthesis or even inside the implant; the second by the infiltrative unfolding of the disease itself, with tumor growth inside or outside the capsule⁷.

The first theory was identified as the most common, according to Groth & Graf⁷, which will have a late clinical presentation and may manifest from a palpable mass to lymph node involvement. It is also estimated that ALCL symptoms appear on average 9 years after the implant, enough time to track the disease⁷. In addition to the inflammation generated by the compound itself, the patient may experience "silicone leakage" – usually due to the natural wear and tear of the prosthesis – caused by the movement of low molecular weight compounds through the envelope of the implant's elastomer⁸.

Given this, the present study aims to analyze the main adverse reactions and symptoms caused by the immune response of silicone implants concerning ASIA and BIA-ALCL, understanding how their mechanism of action works.

OBJECTIVE

The article aims to collect data on symptoms, prevalence, and pathophysiology of the main complications related to silicone implants, such as ASIA and BIA-ALCL (Chart 1).

Chart 1. Main affections found in the bibliographic review. *ASIA (Adjuvant-Induced Autoimmune Syndrome) BIA-ALCL (Anaplastic Large Cell Lymphoma Associated with Breast Implants).

ASIA	BIA-ALCL
Chronic fatigue	Breast pain
Arthralgia	Palpable mass
Myalgia	Late effusion
Dyspnea	Periprosthetic effusion
Dysphagia	Breast asymmetry
Sjogren's syndrome	Lymph node involvement
Fibromyalgia	
Systemic lupus erythematosus	

METHOD

This research addressed the current factors that most contribute to the development of ASIA and BIA-ALCL in women, both in Brazil and other countries. In order to guarantee the reproducibility of the analyzed information, 5 selection steps were established, outlined in the flowchart below (Figure 1):

Concerning ASIA, Step I was built around the question: "What is the relationship between the immune response and the symptomatology of the disease?". Regarding the BIA-ALCL, for Stage I, the research question in question was defined: "What is the correlation between anaplastic large cell lymphoma and breast implants?" both questions were obtained via the PICO method.

For both, Step II consisted of defining the Boolean scheme that met the resolution of the research problem, as well as definitions of eligibility of articles, such as:



Figure 1. Methodology employed and its 5 steps.

[I] Have a maximum of 11 years of publication; [II] Not present conflicts of interest; [III] Direct relationship with the object of study and with its guiding question; [IV] Availability in Portuguese, English, French, and Spanish. It was also established that the initial Boolean search criteria would be from the terms:

- For ASIA: "ASIA breast silicone," "Breast implant," "silicone disease," and "Autoimmune Syndrome Induced by Silicone."
- For the BIA-ALCL: "anaplastic large cell lymphoma," "breast implant-associated with anaplastic large cell lymphoma," and "silicone implant complications."

In the other stages, the selection criteria were equivalent:

Stage III aimed to define the search portals for articles, the database being the electronic sites of PubMed, Science Direct, and Periódicos Capes.

Step IV constituted the selection phase of the articles found, which was performed by reading the

title, abstract, and, in cases of doubt or interest, the complete reading of the journal to answer the research problem.

Finally, in step V, the results were analyzed, via mental maps and other instruments, to generate the present results and discussions.

RESULTS

In the end, 968 articles were analyzed, of which 20 met the abovementioned criteria, intending to address ASIA and BIA-ALCL as possible complications of breast implants. Of the 19 articles selected, 9 reported the pathophysiology and symptomatology of ASIA, 7 commented on BIA-ALCL, and 4 on general aspects of complications caused by silicone implants.

Concerning the main complications found, arthralgia and chronic fatigue were the main symptoms found in ASIA (Figure 2).

In the pathophysiology of the involvement causing BIA-ALCL, the most accepted theory is that



Figure 2. Main symptoms found in ASIA. Graph available from Watad A, Rosenberg V, Tiosano S, Cohen Tervaert JW, Yavne Y, Shoenfeld Y, et al. Silicone breast implants and the risk of autoimmune/rheumatic disorders: a real-world analysis. Int J Epidemiol. 2018;47(6):1846-54³.

breast implants with a larger surface area would form a greater biofilm due to greater bacterial adhesion, generating more prominent chronic inflammation and triggering the malignant transformation of the breasts. T cells, the main symptom being late stroke (Figure 3).



Figure 3. BIA-ALCL is usually found near the breast implant. Illustration available at: www.fda.gov/medical-devices/breast-implants/questions-and-answers-about-breast-implant-associated-anaplastic-large-cell-lymphoma-bia-alcl

DISCUSSION

Adjuvant-induced autoimmune/inflammatory syndrome

ASIA is a disease characterized by chronic pain and joint manifestations, among other symptoms. Currently, it is in evidence due to its correlation with silicone implants, which are increasingly widespread in society and are in high demand by young and adult women.

Since the 1990s, prostheses have been the subject of discussion, especially due to the appearance of a new "disease" related to implants called siliconosis or "silicone reactive disease." However, this syndrome Watad et al.¹⁰, in their work on Shoenfeld's syndrome, refer that the onset of autoimmune conditions results from the interaction of a genetic predisposition and exposure to environmental factors, resulting in an organism's autoimmunity process.

Vera-Lastra et al.¹¹ reported in one of their articles that the silicone present in the prosthesis gel can oxidize into silica, increasing the activity of the immune response. The mechanism used to activate the immune and adaptive system consists of Th1 and Th17 activation and the release of interleukin 17, which will cause a response that will stimulate fibroblasts to produce fibrosis. All this cytokine modulation mechanism explains one of the reasons for causing capsular contracture in autoimmune diseases. According to Colaris et al.¹², this capsular contracture can also be seen as one of the most frequent complications, almost always related to a deficient humoral system.

Several physicians and researchers began correlating the symptoms women presented with their respective silicone implants. For Pavlov-Dolijanovic & Vujasinovic Stupar5, unexplained symptoms such as fatigue, neurasthenia, myalgia, arthralgia, morning stiffness, and night sweats are present in more than 60% of women. Furthermore, some patients also had cognitive problems, dermatological and gastrointestinal symptoms, alopecia, and sleep disorders.

Watad et al.³ mention that arthralgia was seen in approximately 61% of all cases. Chronic fatigue was present in 59% of the total. Myalgia in 49% of cases. Sleep disorders in 37%. General weakness presented in 33% and sicca symptoms in 18%. Fever was seen in 34% of patients, arthritis in 29%, and neurological manifestations in 26%.

Balk et al.¹³ demonstrate that, in addition to the symptoms already mentioned, there is the possibility of association of connective tissue diseases such as Raynaud's Phenomenon, dermatomyositis, scleroderma, and polymyositis with the silicone implant.

Watad et al.¹⁰ point out that in work by Cohen et al., it is reported that 30-50% of women who develop ASIA have Raynauld's phenomenon. In addition, most patients had antinuclear antibodies and other unspecified antibodies. The authors also point out that the implants act as adjuvants to induce local and systemic reactions triggered by macrophages and T cells that will produce antibodies and systemic symptoms. Another fact mentioned is that about 14 months after removing the silicone implant, all the symptoms improved or regressed, indicating a regression of the autoimmune reaction. About 63% of women who underwent surgery to extract the silicone felt improvement in symptoms such as myalgia, arthralgia, fatigue, and neurological symptoms.

There is still great divergence in the literature about the time of appearance of these symptoms. Studies show that symptoms begin from 1 month to 39 years after silicone implant surgery. The work published by Watad et al.³ states that the symptoms appear in the interval of 1 week to 60 months after implant surgery. Fenoglio et al.¹⁴ suggest that the time interval between prosthesis placement and the onset of symptoms is approximately 2 years.

Regarding the diagnosis of ASIA, according to the study published by Schoenfeld & Agmon-Levin⁹, the diagnosis should be based on major and minor criteria. It is organized as follows: either fulfilling 2 major criteria or a major and a minor criterion based on Chart 2, which was taken from the same study published in 2011.

Anaplastic Large Cell Lymphoma

Another disease with increased incidence due to the increase in breast implants, in addition to having a better diagnosis, is BIA-ALCL, which is directly related to silicone, especially those with a textured capsule¹⁰.

The pathogenesis of the disease is still not very clear; however, a higher rate of BIA-ALCL development was associated with textured capsule implants (this textured capsule was created in the 60s as an alternative to reduce cases of capsular contracture, but the results are contradictory).

One of the theories on the development of lymphoma is based on forming a subclinical biofilm, capsular contracture, repetitive trauma, genetic predisposition or autoimmune etiology relating to ASIA, and immune response to silicone components themselves were also considered⁷. According to the 2019 NCCN Consensus Guidelines on the Diagnosis and Treatment of Breast Implant-Associated Anaplastic Large Cell Lymphoma (BIA-ALCL)¹⁵, no confirmed disease cases exist in patients with non-textured prostheses.

There are two courses of disease, one being in situ, where there is a disease in the effusion or the inner wall of the capsule; this course usually does not have a mass and can be confused with a benign seroma. There is also an infiltrative course, presenting with a palpable mass and affecting underlying tissues; this **Chart 2.** Adapted from: Cohen Tervaert JW. Autoinflammatory/ autoimmunity syndrome induced by adjuvants (ASIA; Shoenfeld's syndrome): A new flame. Autoimmun Rev. 2018;17(12):1259-64².

Major criteria:

• Exposure to an external stimulus (infection, vaccine, silicone, adjuvant) before clinical manifestations

- Appearance of one of the following clinical manifestations:
- Myalgia, myositis, or muscle weakness;
 Arthralgia and/or arthritis;
- Chronic fatigue, restless sleep, or sleep disturbances;
- Neurological manifestations (especially associated with demyelination);
- Cognitive impairment, memory loss;
- Fever, dry mouth.
- Removal of the initiating agent induces improvement
- Typical biopsy of involved organs

Minor criteria:

• Appearance of autoantibodies directed against the suspected adjuvant

- Other clinical manifestations (e.g., irritable bowel syndrome)
- Specific HLA (e.g., HLA DRB1, HLA DQB1)

• Emergence of an autoimmune disease (e.g., multiple sclerosis, systemic sclerosis)

For the diagnosis of ASIA, at least two major or one major and two minor criteria

presentation has a worse prognosis (40% mortality in 2 years). Both can occur with lymph node alteration, and there are also cases of lymph node alteration without other symptoms⁷.

The symptomatology of BIA-ALCL presents itself as pain and breast asymmetry with a palpable mass; however, its clinic can be variable, with the presence of periprosthetic effusion, effusion with mass, isolated mass, with or without seroma, or only lymph node involvement. The most common presentation is late effusion (48%-70% of cases), which can occur nine years after implantation⁷. Therefore, any presentation of late effusion with rapid evolution that cannot be explained by infection or trauma should lead to suspicion of BIA-ALCL¹⁶.

Any alteration in the silicone prosthesis must be investigated, always starting with a mammogram to look for a mass, liquid collection, or lymph node enlargement – if not conclusive, an MRI can be requested. A biopsy or fine needle aspiration should be performed when a seroma or mass is found. Other investigations can be performed are CD30 dosage and anaplastic kinase lymphoma, the first being positive and the second negative. BIA-ALCL can appear on average 8-10 years after the implant procedure¹⁶, and when diagnosed, it is important to remove the complete capsule as soon as possible – a procedure performed by the plastic surgeon himself.

The cure of BIA-ALCL depends on the surgical removal of the prosthesis, with the capsule, and in infiltrative cases of the affected underlying tissue (including lymph nodes according to the staging). When correctly removed, there is a recurrence rate of 6%-11%, 0% for patients staged in T1 and 2, and 14.3% for stage 4. Survival is not affected by the use of postoperative chemotherapy, and the removal of the contralateral prosthesis should be discussed (4.6% of the cases are bilateral).

The placement of a new prosthesis after identifying the BIA-ALCL⁷ is contraindicated. Adjuvant treatments such as radiotherapy, chemotherapy, and stem cell transplants have proven very effective and should be combined with surgery¹⁵.

Outpatient follow-up after healing is important; reassessed every 3-6 months for two years if there is no sign of recurrence. In the evaluations, tomography or PET scan¹⁶ can be performed.

CONCLUSION

Complications secondary to silicone implants are being increasingly studied and disseminated due to the increased prevalence of this surgery. As mentioned in this article, ASIA, and BIA-ALCL are some of the main complications resulting from this procedure. However, despite its pathophysiology being well elucidated in the literature, the symptomatology and the onset of symptoms still do not have a standard since several pieces of literature diverge in this sense, in addition to some authors placing a very high time interval for the appearance initial.

Concerning ASIA, it was noted that the most prevalent symptom is arthralgia, followed by chronic fatigue, resulting from the activation of the Th1 and Th17 immune system and the release of interleukin 17 – resulting in fibrosis from fibroblasts –since the silicone present in the prosthesis gel can oxidize into silica, causing an increase in immune activity.

In BIA-ALCL, the most prevalent symptom is late effusion, with a period of symptomatic onset years after surgery – approximately 9 years –after which the evolution occurs acutely. The pathophysiology of this complication occurs mainly with the development of seroma, being directly related to textured capsule implants, in addition to being related to the type of breast implant, genetic predisposition, and the formation of a subclinical biofilm, being necessary to evaluate the quality of the material used, since it can predispose to the formation of this biofilm and lead to complications.

Therefore, silicone implants can cause complications that affect patients' quality of life, and attention should be paid to initial symptoms and seeking medical help in case of any intercurrence, regardless of the time interval, since the complications above can occur many years after the surgery.

COLLABORATIONS

- NSZ Analysis and/or data interpretation, Conception and design study, Conceptualization, Data Curation, Final manuscript approval, Formal Analysis, Funding Acquisition, Investigation, Methodology, Project Administration, Realization of operations and/or trials, Resources, Software, Validation, Visualization, Writing - Original Draft Preparation, Writing - Review & Editing.
- **JPT** Analysis and/or data interpretation, Conception and design study, Conceptualization, Data Curation, Final manuscript approval, Formal Analysis, Funding Acquisition, Investigation, Methodology, Project Administration, Realization of operations and/or trials, Resources, Software, Validation, Visualization, Writing - Original Draft Preparation, Writing - Review & Editing.
- **FFS** Analysis and/or data interpretation, Conception and design study, Conceptualization, Data Curation, Final manuscript approval, Formal Analysis, Funding Acquisition, Investigation, Methodology, Project Administration, Realization of operations and/or trials, Resources, Software, Validation, Visualization, Writing - Original Draft Preparation, Writing - Review & Editing.

IGB Writing and Review.

IMJ Supervision.

REFERENCES

- 1. Kaplan J, Rohrich R. Breast implant illness: a topic in review. Gland Surg. 2021;10(1):430-43.
- 2. Cohen Tervaert JW. Autoinflammatory/autoimmunity syndrome induced by adjuvants (ASIA; Shoenfeld's syndrome): A new flame. Autoimmun Rev. 2018;17(12):1259-64.
- Watad A, Rosenberg V, Tiosano S, Cohen Tervaert JW, Yavne Y, Shoenfeld Y, et al. Silicone breast implants and the risk of autoimmune/rheumatic disorders: a real-world analysis. Int J Epidemiol. 2018;47(6):1846-54.
- 4. Lazzeri D, Agostini T, Bocci G, Giannotti G, Fanelli G, Naccarato AG, et al. ALK-1-negative anaplastic large cell lymphoma associated with breast implants: a new clinical entity. Clin Breast Cancer. 2011;11(5):283-96.
- 5. Pavlov-Dolijanovic S, Vujasinovic Stupar N. Women with silicone breast implants and autoimmune inflammatory syndrome

induced by adjuvants: description of three patients and a critical review of the literature. Rheumatol Int. 2017;37(8):1405-11.

- 6. Thompson PA, Lade S, Webster H, Ryan G, Prince HM. Effusionassociated anaplastic large cell lymphoma of the breast: time for it to be defined as a distinct clinico-pathological entity. Haematologica. 2010;95(11):1977-9.
- 7. K Groth A, Graf R. Breast Implant-Associated Anaplastic Large Cell Lymphoma (BIA-ALCL) and the Textured Breast Implant Crisis. Aesthetic Plast Surg. 2020;44(1):1-12. Erratum in: Aesthetic Plast Surg. 2020;44(5):1951.
- 8. Bizjak M, Selmi C, Praprotnik S, Bruck O, Perricone C, Ehrenfeld M, et al. Silicone implants and lymphoma: The role of inflammation. J Autoimmun. 2015;65:64-73.
- 9. Shoenfeld Y, Agmon-Levin N. 'ASIA' autoimmune/inflammatory syndrome induced by adjuvants. J Autoimmun. 2011;36(1):4-8.
- Watad A, Quaresma M, Brown S, Cohen Tervaert JW, Rodríguez-Pint I, Cervera R, et al. Autoimmune/inflammatory syndrome induced by adjuvants (Shoenfeld's syndrome) - An update. Lupus. 2017;26(7):675-81.
- 11. Vera-Lastra O, Cruz-Dominguez MP, Medrado Ramírez G, Amigo MC, Peralta-Amaro AL, Gayosso-Rivera JA, et al.

Autoimmune/Inflammatory Syndrome Induced by Silicone Breast Implant and Risk Factors Associated to Autoimmune Diseases. Rheumatology. 2019;9(1):1-6.

- 12. Colaris MJL, de Boer M, van der Hulst RR, Cohen Tervaert JW. Two hundreds cases of ASIA syndrome following silicone implants: a comparative study of 30 years and a review of current literature. Immunol Res. 2017;65(1):120-8.
- Balk EM, Earley A, Avendano EA, Raman G. Long-Term Health Outcomes in Women With Silicone Gel Breast Implants: A Systematic Review. Ann Intern Med. 2016;164(3):164-75.
- 14. Fenoglio R, Cecchi I, Roccatello D. ASIA Syndrome Following Breast Implant Placement. Isr Med Assoc J. 2018;20(11):714-6.
- 15. Clemens MW, Jacobsen ED, Horwitz SM. 2019 NCCN Consensus Guidelines on the Diagnosis and Treatment of Breast Implant-Associated Anaplastic Large Cell Lymphoma (BIA-ALCL). Aesthet Surg J. 2019;39(Suppl_1):S3-S13.
- Clemens MW, Brody GS, Mahabir RC, Miranda RN. How to Diagnose and Treat Breast Implant-Associated Anaplastic Large Cell Lymphoma. Plast Reconstr Surg. 2018;141(4):586e-99e.

*Corresponding author: N

Natalia Silva Zahdi

Rua Paulo Malschitzki, 10, Zona Industrial Norte, Joinville, SC, Brazil Zip code: 89201-972 E-mail: nataliazahdi@gmail.com